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**1968**

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Separata — *Reprint*

Del volumen **1**, N°1, enero de 1968:

Butzer, Karl W. 1968. Remarks on a dynamic classification of climates. *El Correo Geográfico* [Tunja, Colombia, ACOGE], vol. 1 (1), 17-26.

Asociación Colombiana de Geógrafos (ACOGÉ)

## REMARKS ON A DYNAMIC CLASSIFICATION OF CLIMATES

by Karl W. Butzer.

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### The Problem

Climatic classification, as a synthetic summarization of selected climatic data, has long been and will presumably remain a problem for geographers. With some 60 published climatic classifications in the geographical literature, the theme itself is not an edifying one. Climatic classifications have generally been delineated with the distribution of non-climatic phenomena in mind. Theoretically, climatic classifications could be devised according to the planetary distribution of one or more of the following elements:

- a. great soil groups
- b. gradational processes
- c. water resources
- d. plant life:
  - i. native vegetation
  - ii. agricultural crops
  - iii. animal life
- e. human comfort or energy levels
- f. climate *sensu stricto*

However a strict classification of climate according to the principle of "climate for its own sake" is seldom attempted. Indeed what significance does a 64°F isotherm or a 12-inch isohyet have unless it is related to plant factors, human comfort, etc. Of all the statistical values employed in existing classifications only the value of 32°F, as the freezing temperature of water under certain ideal conditions, has a really objective existence. This means that, if one disregards the distribution of the non-climatic phenomena listed above, it is impossible to provide meaningful statistical units of climate, so for example on the basis of temperature or precipitation. It may be permissible to speak of climates qualitatively as being hot, cold, seasonal, etc. but such distributions could not then be shown on the map. The only logical independent classification of climate according to strictly climatic criteria would be *genetic*. Any other classification of climates is necessarily *applied* in purpose.

*The Purpose of Genetic Classification*

All climatic classifications in widespread classroom use today, with exception of those used in certain Russian texts, are "applied" in character, most frequently constructed on the basis of plant life. In a broad way most climatologists will agree that such classifications are a useful teaching device on a high school or unspecialized college level. This is a delicate point, and it is not my intention to touch upon this controversy. In a recent review F. Kenneth Hare (1960) has expressed feeling for a necessary subdivision in climatological instruction. Hare believes that one level should be designed for the general geography student who needs a descriptive climatology as part of the description of the earth as the home of human society. But, according to Hare, another level of climatology must serve the needs for climatological specialists, who may act as skilled and authoritative interpreters between geography and meteorology.

The problem then arises whether an "applied" or a genetic classification can best serve the requirements of instruction in regional macro-climatology at the intermediate or advanced level. I am personally convinced this question cannot be answered as an "either-or" proposition, rather, it is simply a question of *purpose*.

Graduate or research level regional climatology cannot be divorced from the synoptic-dynamic approach, as Hettner had already stressed in 1930 (p. 7-8). But what regions are to be most effectively used? Continents or political units are unwieldy, as several distinct genetic climates may occur within the region so chosen. Similarly empirical-descriptive climatic types defined on the basis of convergence of various physical phenomena are unsatisfactory as a framework for genetic-dynamic discussion. This statement, I believe, requires a brief elaboration. The greater number of climatology texts in the English language give their genetic treatment of regional climates within the framework of Koppen's climatic types. At a general level this may be quite adequate, as there is a broad convergence between the planetary wind belts and Koppen's natural eco-zones. At an advanced level however, such a unit becomes unsatisfactory and lacks scientific accuracy. One glaring example is the climatic identity of the Ganges and lower Mississippi valleys in the Koppen system. Koppen himself implicitly admitted the divergence between his general climatic units and his wind and circulation provinces (Plates I and IX, 1923 and 1931).

If one could admit that continental, political or "applied" climatic type—regions do not best suit the purposes of dynamic regional climatology, what does? Hettner first raised this issue in 1911 when he criticized Koppen for de-emphasizing climatic causation. At the same time he proceeded to outline climatic regions on a genetic basis.

*Hettner's Genetic Outline of Climatic Regions (1911)*

Hettner (1911; 1931, p. 83-85) argued that a scientific classification must be based on climatic genesis, and should primarily emphasize general characteristics: air circulations, precipitation, radiation and temperature. Apart from his general climatic types he also outlined specific genetic regions (Gebiete der atmosphärischen Zirkulation

auf den Kontinenten: 1911; 1931, p. 30-36). The regional types he outlines are given in Table I.

It may be noted that this admittedly incomplete and not uniform characterization of the world's genetic climatic provinces by Hettner is the first of its kind. Its intellectual antecedents are to be sought in A. I. Voeikof via Hettner's teacher A. Kirchhoff (Hettner 1930, p. 10). The available synoptic-dynamic data of the time was however deficient, so that Hettner's classification is chiefly of historical and methodological interest today.

In the first edition of his volume *Klimate der Erde* Koppen (1923; p. 301-2, plate IX) outlines seasonal wind or circulation zones, which could well have been carried a step further into a synthetic characterization of climates. These first steps were followed up by Flohn only in 1950.

A similar attempt at climatic classification on the basis of mean annual pressure distributions by P. I. Brounow in 1925 (cited by Alisov *et al.* 1956, p. 151-153) has not been effective or successful.

In 1929 a revolution in the methods and approach of climatology was ushered in by Thor Bergeron's plea for a "dynamic" climatology employing airmass concepts and frontal theory (Bergeron, 1930).<sup>(1)</sup> Only now was the empirical and theoretical framework available, whereby an effective and consistent regional breakdown of genetic climates became possible.

#### *The Airmass and Frontal Classification of B. P. Alisov (1936)*

The Bergeron idea was systematically followed up by the noted Russian climatologist B. P. Alisov in 1936 (see also Alisov *et al.* 1956, p. 119-141). Alisov delineated the boundaries of his regions according to the seasonal positions of the statistical or climatological fronts. The zones between these broadly latitudinal belts were subdivided according to seasonal or permanent dominance of airmass types, and again subdivided according to geographical considerations, namely: 1) continental and maritime sections as reflecting the distribution of land and water, and 2) eastern and western coasts as reflecting circulation features. In practice these last subdivisions are neglected and only 7 major divisions are identified and located on the map by Alisov.

Although acceptable as a natural and logical system, as it stands Alisov's classification requires revision. So, for example, it is weakened by emphasis of "arctic" and "equatorial" airmass types. Neither airmass type is admitted as a really distinctive class by most authors today. Although a case could be made that *mTm* is a characteristic airmass of the equatorial trough, airmasses of the polar regions are anything but areally

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(1) Hettner (1930, p. 7-8) also regards the synoptic approach as the most promising avenue for modern climatology to follow. He considered it capable of providing more than a climatographical study of statistical facts. Rather he contended that only the synoptic weather map can express the aperiodic day-to-day variation of weather and hence also climate.

uniform and are seasonally rather variable. Similarly the arctic front is a poor boundary criterion, at least for the northern hemisphere continents. Also, few of the boundaries given on Alisov's map coincide with the usually accepted average seasonal locations of these fronts (including the Russian literature, e.g. S. P. Chromov, 1950). On closer inspection, then, Alisov's system is not fully satisfactory in its details. A criticism could also be made of the general approach, in particular the primary use of arbitrarily selected statistical features (climatological fronts) and only secondary use of really dynamic units or entities (airmasses). This is born out by Alisov's subsequent detailed description of the climatic types which is not dynamic but genetic, and in general does not provide a major improvement of Hettner's older genetic treatment.

#### *The Circulation Classification of H. Flohn (1950)*

Unaware of Alisov's important contribution, H. Flohn published a rather different classification in 1950. Its specific aim was to materialize Hettner's plea for a modern genetic classification. At the same time Flohn followed up Köppen's (1923) seasonal wind belt analysis and suggested a means of integration between the widely used Köppen system and a true dynamic approach. G. T. Trewartha has effectively integrated the two in the third edition of *An Introduction to Climate* (1954).

Flohn proposes two criteria: 1) mean seasonal wind components at 500-2000m, and 2) effective vertical motions as expressed by precipitation periodicity, intensity, and frequency. On these bases he distinguishes 6 major zones (Table III). These are shown on Köppen's hypothetical continent as well as on a meridian cross-section, although unfortunately no better cartographic representation is given.

This consistent system has been carefully constructed and is theoretically sound. It has the decided advantage that a certain measure of integration with the physiological units of climate and eco-regions, expressed in the empirical descriptive classification systems of Köppen and others, is feasible. Yet such a wind belt and precipitation type classification is not necessarily better than one based on airmasses. Flohn emphasizes that airmasses are too inhomogeneous, both horizontally and vertically, and subject to extremely rapid modification. True as this certainly is, it does not invalidate the airmass concept. No one familiar with synoptic work can dispute that airmasses are sufficiently real and practicable, although they cannot always be statistically defined by certain thermodynamic properties and lapse rate conditions as certain authors have claimed.

#### *A Dynamic System of Climates based on Airmass Characteristics*

Possibly, however, a dynamic analysis based primarily on the seasonal dominance or interplay of the four major airmass types (*mP*, *mT*, *cP*, *cT*) will in the long run prove most acceptable for both a genetic and dynamic synthesis of weather and climate. The details can be improved by giving auxiliary consideration to the number of months with maritime or continental airmasses dominant, to seasonal or semi-permanent airmass source regions, climatological fronts, wind and pressure belts. Such a synthesis would be based upon Alisov's original airmass province concept.

An attempt to achieve this has been made in Table IV and on the map presented. Although the dynamic system of climates suggested here as a working hypothesis cannot be integrated with an empirical classification such as Koppen's it may be preferable for a discussion of regional dynamic climatology. Units so obtained are particularly suitable for air-mass or synoptic climatologies. Only by considering source regions and areas of typical air-mass exchange can the fundamental principle of meridional energy transfer be integrated into a realistic concept of the general circulation. Such regions of dominant vertical air-mass transformation or dominant horizontal heat transfer are by definition more "dynamic" than average seasonal wind or pressure fields.

Any classification must necessarily remain suggestive if it should serve a wider purpose. A rigid emphasis of boundaries -- which are here explicitly designed to be general -- would impair the possibilities of any dynamic classification as a working tool. Each region suggested must obviously be subjected to a searching dynamic analysis and characterization, and modification introduced accordingly. There may, for example, prove to be no satisfactory dynamic reason for separating classes 1<sup>a</sup> and 2<sup>a</sup>. The distinctions of maritime and continental provinces are made on the basis of seasonal or annual dominance of maritime or continental air-masses. Obviously there are, at present, no satisfactory statistics on air-mass frequency for any part of the world outside of certain northern hemisphere, middle latitude areas. Consequently crude approximations based on seasonal precipitation and duration of rainy season were used. In general, numerous oversimplifications have been necessary.

The question may then be raised, is it at all possible to devise a dynamic classification of climates on the basis of contemporary knowledge of world synoptic climatology? In fact, it may be argued that our present knowledge is so imperfect, that a dynamic or genetic classification might contain serious factual errors, so obscuring rather than improving our understanding of world climates. Unless synthesis and evaluations of contemporary information are attempted, however, no visible progress is made. Things must be thought about and attempted, and it is in this sense that the suggested dynamic classification is presented here. It will fully serve its purpose if it shows that dynamic classification is possible and may serve a teaching function.

TABLE I.

*Circulation Provinces according to Hettner*

TYPE	CIRCULATION CHARACTERISTICS
1. <i>Equatorial Climates</i>	Doldrum and convergence belt of the equatorial trough.
2. <i>Extra-equatorial continental tropical Climates</i>	Summer: light winds in the continental interiors, with monsoonal winds nearer the coasts, particularly on eastern coasts. Winter: trade winds and allied circulations.

3. *Tropical Monsoon Climates*. Seasonal reversals of pressure and wind systems.
4. *Trade-wind Climates*. Trade winds or allied circulations throughout the year.
5. *Etesian Climates*. Transitional between the tropical and extra-tropical circulations, with trade wind or allied circulations in summer, westerlies and middle-latitude cyclone in winter. A continental subtype is distinguished.
6. *Extra-tropical maritime Climates*. Westerlies and middle-latitude disturbances dominant throughout the year, limited to the oceans and west coasts of the continents.
7. *Extra-tropical continental Climates*. Disturbed westerlies are weakened and frequently interrupted by periods of light circulation; notable seasonal fluctuations of pressure.
8. *Extreme Continental Climates*. Extreme interior locations affected by topographic barriers are characterized by subdued non-periodic but large seasonal variations of pressure. Anticyclonic circulation permanent in winter, local circulations with lower pressures dominant in summer.
9. *Extra-tropical "monsoonal" Climates*. Middle-latitude east coasts located in the lee of the westerly circulation, modified by a localized monsoonal-type circulation.
10. *Polar Climates*. Anticyclonic circulations fringed by easterly wind belts.

TABLE II.

*Dynamic Provinces according to Alisov*

PROVINCES	CHARACTERISTICS
1. <i>Zone of Equatorial Airmasses</i>	(humid tropics). Located between the equator and the low-sun seasonal positions of the ITC, considered as the source region of equatorial airmasses.
2. <i>Zone of Equatorial Monsoons</i>	(winter-dry tropics). Located between the high-sun season extreme positions of the ITC, including those subequatorial areas with alternating continental and maritime circulations of "monsoonal" type.
3. <i>Zone of Tropical Airmasses</i>	(low-latitude arid zone and trade-wind belt). Located between the summer position of the ITC and

winter position of the polar front, permanently occupied by tropical airmasses.

4. *Subtropical Transitional Zone* (humid lower middle latitudes). Located between the seasonal positions of the polar front, with tropical airmasses dominant in summer, modified polar airmasses in winter.
5. *Temperate Zone* (higher middle latitudes). Located between the summer position of the polar front and winter position of the arctic front, with polar airmasses dominant all year.
6. *Subarctic Transitional Zone*. Located between the seasonal positions of the arctic front, dominated by polar airmasses in summer, arctic airmasses in winter.
7. *Arctic Zone*. Dominated by arctic airmasses all year.



Table III. - Dynamic Provinces according to Flohn

ZONE	PRECIPITATION	PRESSURE & WIND BELTS	WINDS <sup>2</sup>		"TYPICAL Koppen Climate	
			Summer	Winter		
1. Inner tropics	Moist all year, mostly heavy rains	Equatorial westerlies or doldrums $\geq$ 8 months	T	T	<u>Af</u> ,	<u>Am</u>
2. Outer tropics	Some rains	Equatorial westerlies (< 8 months) alternating with trades	T	P	<u>Aw</u> , in part also <u>Cw</u>	
3. Subtropical arid zone	Prevaillingly dry (rare downpours)	Trades or subtropical high	P	P	<u>BS</u> ,	<u>BW</u>
4. Subtropical winter rain zone	Winter or transitional season rainfall	Subtropical high in summer, westerlies in winter	P	W	<u>Cs</u>	
5. Moist temperate zone	Rain at all seasons	Middle latitude westerlies	W	W	<u>Cf</u> , in part also <u>Cw</u>	
6a. Boreal zone	Dominantly summer precipitation, winter snow cover	Westerlies, in part also polar easterlies	E	W	<u>Df</u> ,	<u>Dw</u>
6. Subpolar zone	Limited rainfall throughout the year	Polar easterlies and westerlies	E	W	<u>ET</u>	
7. High polar zone	Limited snowfall throughout the year	Polar easterlies	E	E	<u>EF</u>	

<sup>2</sup> The wind abbreviations employed by Flohn are as follows:

- T equatorial westerlies or doldrums
- P tropical easterlies (trades)
- W middle latitude westerlies
- E polar easterlies

**Table IV. A Dynamic Classification based on Airmass Characteristics**

ZONE 3	DOMINANT WINTER	AIRMASS SUMMER	NUMBER OF MONTHS WITH MARITIME AIR MASSES DOMINANT	AIRMASS SOURCE 4 REGION (if any)	PRESSURE OR WIND SYSTEM
Equatorial	(1) mT	=mT	10 - 12		Doldrums and equatorial westerlies
Subequatorial	(2a) cT	mT	5 - 12	] [	"Monsoonal" circulations, ITC zone in summer
	(2b) cT	mT - cT	0 - 4		
Tropical	(3a) cT, mT	mT	5 - 12	] [	Trades and Subtropical highs
	(3b) cT	cT	0 - 4		
Transitional	(4ai) mP - mT	mT	7 - 10	] [	Winter westerly regimes of the west coasts Middle latitude east coasts Middle latitude interiors
	(4bi) mP - mT	cT	5 - 7		
	(4aii) cP	mT	5 - 12		
	(4bii) cP	cT	0 - 4		
Subpolar	(5ai) mP	mP - mT	10 - 12	] [	Higher latitude west coasts Higher latitude east coasts Higher latitude interiors
	(5aii) cP	mP	5 - 7		
	(5b) cP	cP - cT	0 - 4		
Polar	(6a) cP	mP	0 - 4	] [	Polar easterlies
	(6b) cP	mP	0 - 4		

Westerlies

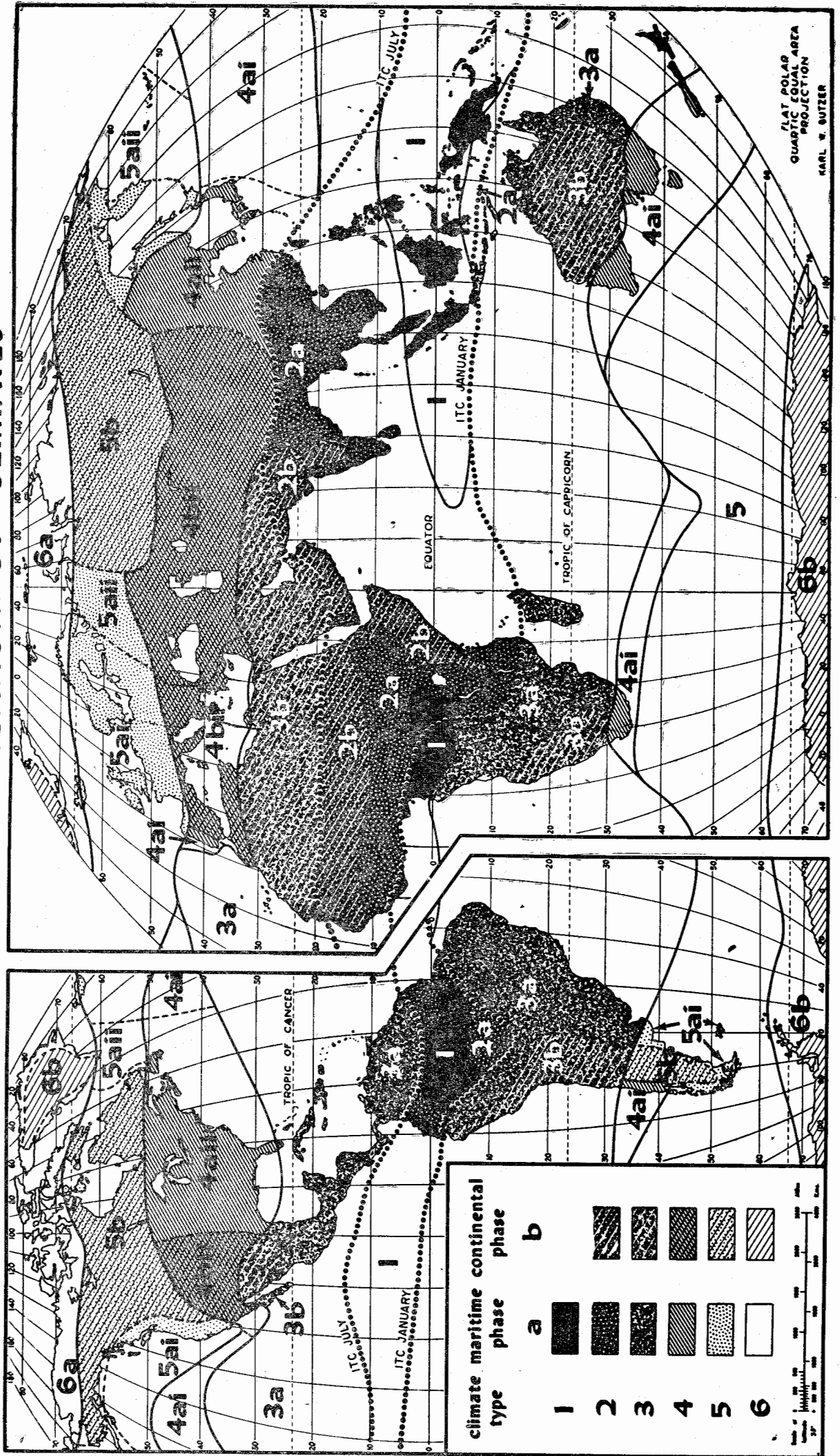
3 "a" subdivisions refer to "maritime" as opposed to continental regions ("b"), while (i) subdivisions refer to the western coasts of the continents as opposed to the eastern coasts (ii), (Maritime and continental here imply seasonal or annual dominance of maritime or continental airmasses, rather than "continentality" in the conventional sense).

4 Seasonal airmass source regions indicated in parentheses.

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# A DYNAMIC CLASSIFICATION OF CLIMATES



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